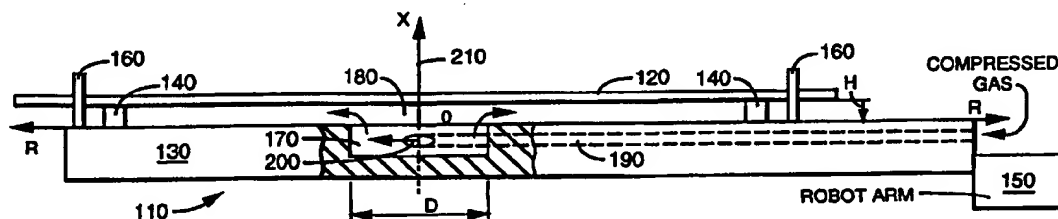




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US99/00430 <b>(22) International Filing Date:</b> 8 January 1999 (08.01.99) <b>(30) Priority Data:</b> 09/041,284      11 March 1998 (11.03.98)      US <b>(71) Applicant:</b> TRUSI TECHNOLOGIES, LLC [US/US]; 657 North Pastoria, Sunnyvale, CA 94086 (US). <b>(72) Inventors:</b> SINIAGUINE, Oleg; 1047 Nobel Drive, Santa Cruz, CA 95060 (US). JACQUES, John; 5735 La Seyne Place, San Jose, CA 95138 (US). <b>(74) Agents:</b> SHENKER, Michael et al.; Skjerven, Morrill, MacPherson, Franklin & Friel LLP, Suite 700, 25 Metro Drive, San Jose, CA 95110 (US).		<b>(81) Designated States:</b> IL, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>

(54) Title: ARTICLE HOLDERS AND HOLDING METHODS



## (57) Abstract

An article holder generates a gas flow (for example, a vortex) to hold the article at a predetermined distance from the body of the holder. Pins extend from the body of the holder and physically contact an article surface to impede the article movement along the surface. As a result, the article is prevented from bumping against the locator pins surrounding the article when the holder accelerates.

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## ARTICLE HOLDERS AND HOLDING METHODS

## BACKGROUND OF THE INVENTION

The present invention relates to article holders  
5 and holding methods.

Article holders are widely used to hold articles  
in a suitable manner. For example, in semiconductor  
industry, wafer holders hold semiconductor wafers when  
the wafers are transported between wafer storage  
10 cassettes and wafer processing equipment. To reduce or  
eliminate wafer scratching and contamination, wafer  
holders are designed so as not to allow the wafer  
useful area to contact the holder. The wafer rests on  
holder support pins which contact the wafer only at the  
15 wafer periphery reserved for wafer handling, away from  
the wafer useful area.

Alternatively, a wafer is held in position by a  
gas vortex emitted by the holder or by a gas flow  
generating a reduced pressure between the holder and  
20 the wafer according to the Bernoulli principle. Such  
holders also do not contact the wafer useful area.

Improved holders and holding methods for wafers  
and other articles are desirable.

## 25 SUMMARY

The inventor has observed that in wafer holders in  
which the wafer periphery rests on support pins, the  
wafer sags in the middle. As a result, the wafer  
useful area in the middle may undesirably contact the

holder, at least when the holder accelerates. In some cases the support pins cannot be made sufficiently high to prevent such contact because the height of the support pins is limited by other pieces of equipment  
5 interacting with the holder, for example, by the height of slots reserved for individual wafers in wafer cassettes.

Further, wafer sagging introduces tension forces in the wafer. The wafer can be damaged by the tension  
10 forces.

Of note, sagging becomes a particularly serious problem as wafer diameters increase and as wafers reach smaller and smaller thicknesses during integrated circuit manufacturing.

15 Holders using gas flow also have problems. In such holders, the wafer is surrounded by vertical locator pins that prevent the wafer from sliding horizontally relative to the holder. The distance between the locator pins typically exceeds the average  
20 wafer size in order to accommodate slight variations in wafer sizes. Consequently, the wafer may bump against the locator pins. As a result, the wafer edges can get chipped and the wafer peripheral handling area and even the wafer useful area can be damaged. The danger of  
25 chipping is especially great for thin wafers.

In some embodiments of the present invention, the above disadvantages are reduced or eliminated as follows. One or more gas flows are generated adjacent to a wafer surface. In some embodiments, gas flows are  
30 similar to prior art vortices or Bernoulli effect gas

flows, and they hold the wafer at a predetermined distance relative to the holder. In addition, one or more members (e.g. pins) contact the wafer surface at which the gas flows are generated. These "friction" members (e.g., "friction" pins) exert friction forces at the wafer surface to prevent the wafer from sliding horizontally relative to the holder. If locator pins are provided around the wafer, the friction pins prevent the wafer from bumping against the locator pins.

In some embodiments, the friction pins contact the wafer only in the wafer peripheral handling area.

In some embodiments, gas flow is not used to develop a vacuum holding the wafer but is used to reduce or eliminate wafer sagging when the wafer rests on the friction pins.

The invention also provides holders for articles other than semiconductor wafers.

Other features of the invention are described below. The invention is defined by the appended claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a top view of a system including a wafer holder of the present invention.

Fig. 2 is a side view of the system of Fig. 1.

Fig. 3 is a graph of the pressure difference between the pressure near the wafer and the ambient pressure in the system of Figs. 1 and 2.

Fig. 4 is a graph of forces acting on the wafer in the system of Figs. 1 and 2.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

5        Fig. 1 is a top view showing a holder 110 holding a semiconductor wafer 120. Fig. 2 is a view from the left of the structure of Fig. 1. Holder 110 includes a flat platform 130 positioned below the wafer. Friction pins 140 extend from platform 130 and contact the  
10 horizontal bottom surface of the wafer in the wafer peripheral area reserved for wafer handling. In some embodiments, this area extends all around the wafer and has a width of about 3 mm. No useful circuits are manufactured in that area.

15        In some embodiments, the pins 140 contact the interior portion of the wafer bottom surface and, possibly, the surface edge. The pin top surface may extend beyond the wafer edge.

20        Friction pins 140 impede the horizontal movement of the wafer.

      In some embodiments, only one pin 140 is provided. In other embodiments, three or more pins are provided and placed so that the wafer is in horizontal equilibrium.

25        Platform 130 is attached to an arm 150 of a robot or some other manipulator. In case of a robot, holder 110 is the robot end-effector. In some embodiments, the robot transports wafers between a cassette (not shown) and a wafer processing chamber (not shown) such  
30 as described, for example, in PCT application WO

96/21943 (July 18, 1996) or U.S. Patent Application 08/975,403 "Plasma Processing Methods and Apparatus" filed November 20, 1997 by O. Siniaguine, both of which are incorporated herein by reference.

5           Vertical locator pins 160 surround the wafer. Pins 160 extend from platform 130 up and above the wafer and also restrict the lateral wafer movement relative to the holder. The height of pins 160 is greater than the height of friction pins 140. Locator  
10 pins 160 may or may not touch the wafer because the distance between the locator pins is chosen to accommodate slight variations in wafer sizes. Friction pins 140 prevent the wafer from bumping against the locator pins.

15           In some embodiments, locator pins 160 are replaced by a solid rim. In other embodiments, the locator pins and the rim are omitted.

          Gas flow generator 170 in platform 130 generates a gas flow that reduces the pressure in a region 180  
20 between the platform 130 and the wafer. Generator 170 is a cylindrical chamber closed from the bottom but open from the top. Channel 190 delivers compressed gas from the robot to opening 200 in a vertical wall of chamber 170. Channel 190 is tangential to the chamber  
25 wall. The compressed gas (for example, air) emerging from opening 200 creates a gas vortex in chamber 170. The gas vortex reduces the pressure in region 180.

          The pressure profile is illustrated in Fig. 3. For any point between wafer 120 and platform 130, the  
30 horizontal coordinate R in the graph of Fig. 3 is the

distance between the point and vertical axis 210  
passing through the center of chamber 170. The  
vertical coordinate  $\Delta P$  is the difference between the  
pressure at the point and the ambient pressure. "D"  
5 denotes the diameter of chamber 170 (about 6mm in some  
embodiments), and "r" denotes the radius of cylindrical  
region 180.  $r < D/2$ .

In region 180 ( $R < r$ ), the negative pressure  
difference  $\Delta P$  creates a "vacuum" force  $F_{pull}$  (Fig. 4)  
10 which draws the wafer towards the platform 130. In  
Fig. 4,  $F_{pull}$  is shown positive. The horizontal  
coordinate  $X$  is the distance between the wafer and the  
platform 130.

The gas leaving the chamber 170 flows radially  
15 away from chamber 170 and increases pressure outside  
the region 180, i.e. for  $R > r$ . Hence, when  $R > r$ , the  
pressure difference  $\Delta P$  is positive. The positive  
pressure difference creates an "expelling" force  $F_{push}$   
that pushes the wafer away from platform 130.  $F_{push}$  is  
20 shown negative in Fig. 4.

The resulting force  $F_R = F_{pull} + F_{push}$  is zero at  
some distance  $X = H_1$  between the wafer and the  
platform. For  $X < H_1$ ,  $|F_{pull}| < |F_{push}|$  ( $F_{pull}$  is less  
than  $F_{push}$  in magnitude), and hence the wafer is pushed  
25 away from platform 130 back to equilibrium position  
 $X = H_1$ . For  $X > H_1$ ,  $|F_{pull}| > |F_{push}|$  ( $F_{pull}$  is greater  
than  $F_{push}$  in magnitude), and the wafer is pulled  
toward the platform 130 back to the equilibrium  
position  $X = H_1$ . Thus, the point  $X = H_1$  is a stable  
30 equilibrium.



The equilibrium position  $X=H1$  is determined above without taking the wafer weight into account. The actual equilibrium position  $X=H2$  is lower than  $H1$  (see Fig. 4) because the wafer weight pulls the wafer down  
5 below  $H1$ . In Fig. 4, the equilibrium position  $H2$  is the value of coordinate  $X$  at a point at which the resulting force  $FR$  crosses the horizontal line  $F = -W$  where  $W$  is the wafer weight.

If the wafer is positioned below the platform 130  
10 (in an embodiment in which locator pins 160 and the friction pins 140 extend downward from the platform), the equilibrium position is  $X=H3$  (Fig. 4). This is the smallest  $X$  value at which the resulting force  $FR$  intersects the horizontal line  $F=W$ . In this case, the  
15 gas flow rate is chosen so that the maximum value  $FR_{max}$  of resulting force  $FR$  is greater than  $W$ .

In some embodiments, the size of chamber 170, the size of the transversal cross section of channel 190, and the gas flow rate are adjusted to keep the  
20 equilibrium distance  $H$  (i.e.,  $H2$  or  $H3$ ) in the range of 0.1-1.0 mm. The chamber and channel sizes and the gas flow rate can be adjusted experimentally since the force  $FR \pm W$  can be measured by a force meter or a load cell using methods known in the art. In some  
25 embodiments, the diameter of chamber 170 is about 15 mm, the cross section of channel 190 is 0.5 mm, and the gas flow rate is 3 liters/min. The wafer diameter is 200 mm, and the wafer weight is 55 grams.

The height of friction pins 140 is set to the  
30 equilibrium distance  $H$ . Thus, the friction pins extend

substantially only to the equilibrium position of the wafer. Therefore, the wafer does not sag.

Vacuum force  $F_{pull}$  presses the wafer 120 against the friction pins 140 when the robot arm accelerates away from the wafer. This reduces or eliminates bouncing of wafer 120 on friction pins 140. This in turn reduces contamination by particles that could be transferred from friction pins 140 to the wafer surface.

10 In some embodiments, the friction between the pins 140 and the wafer is increased by making the pins 140 taller than the equilibrium height  $H$ . The pins resist the wafer assuming the equilibrium position  $X = H$ . The wafer sags slightly, but the height of pins 140 is  
15 chosen to limit sagging to a level at which there is no significant risk that the wafer might break or that the wafer useful area might contact the platform 130.

Some embodiments include more than one gas flow generator. In some embodiments, one or more gas flow  
20 generators 170 are of the type described in PCT publication WO 97/45862 "Non-Contact Holder for Wafer-Like Articles" published December 4, 1997. In some embodiments, one or more gas flow generators are based on the Bernoulli principle.

25 In some embodiments, no vacuum force is generated. Compressed gas flow creates an expelling force at the wafer surface facing the holder (e.g. near the center of the wafer) to reduce or eliminate wafer sagging. The wafer is positioned above the holder on pins 140,  
30 and is held down by its weight.

Some holder embodiments hold semiconductor dies, flat panels, or other kinds of articles.

The embodiments described above illustrate but do not limit the invention. The invention is not limited  
5 by the shape or dimensions of friction pins or any other members. The pins 140 can be rigid or semi-rigid. Other embodiments and variations are within the scope of the invention, as defined by the appended claims.

## CLAIMS

1. An article holder comprising:  
a gas flow generator for generating one or  
more gas flows adjacent to a surface of the  
5 article; and  
one or more members each of which is to  
physically contact said surface to impede article  
movement relative to the holder.
- 10 2. The article holder of Claim 1 wherein at  
least one member is to contact at least an interior  
point of said surface.
3. The holder of Claim 1 wherein each member is  
15 to exert a friction force along said surface.
4. The holder of Claim 1 wherein the one or more  
gas flows are to develop a low pressure area at said  
surface to press the surface against the one or more  
20 members.
5. The holder of Claim 1 wherein the one or more  
gas flows are to develop one or more forces to force  
the article surface into a predetermined position  
25 relative to the holder; and  
at least one member extends substantially to  
said predetermined position.
6. The holder of Claim 1 wherein the one or more  
30 gas flows are to develop one or more forces to force

the article surface into a predetermined position relative to the holder; and

5           at least one member extends beyond said predetermined position to resist the article surface assuming said predetermined position and thus to increase friction between the member and said surface.

7.   The holder of Claim 1 wherein the one or more  
10 gas flows are to develop one or more forces to force the article surface to be positioned at a predetermined distance from a body of the holder; and

          at least one member extends from the body of the holder by said predetermined distance.

15

8.   The holder of Claim 1 wherein the one or more gas flows are to develop one or more forces to force the article surface to be positioned at a predetermined distance from a body of the holder; and

20           at least one member extends from the body of the holder by more than said predetermined distance to resist the article surface positioning at said predetermined distance from the body of the holder and thus to increase friction between  
25 the member and the article surface.

9.   The holder of Claim 1 in combination with the article.

10. The holder of Claim 1 wherein the article is a semiconductor article, and the one or more members are to contact said surface at a periphery of the article.

5

11. A method for holding an article, the method comprising:

positioning the article so that an article surface contacts one or more members that impede the article movement; and

10

generating a gas flow that generates one or more article holding forces transverse to said surface.

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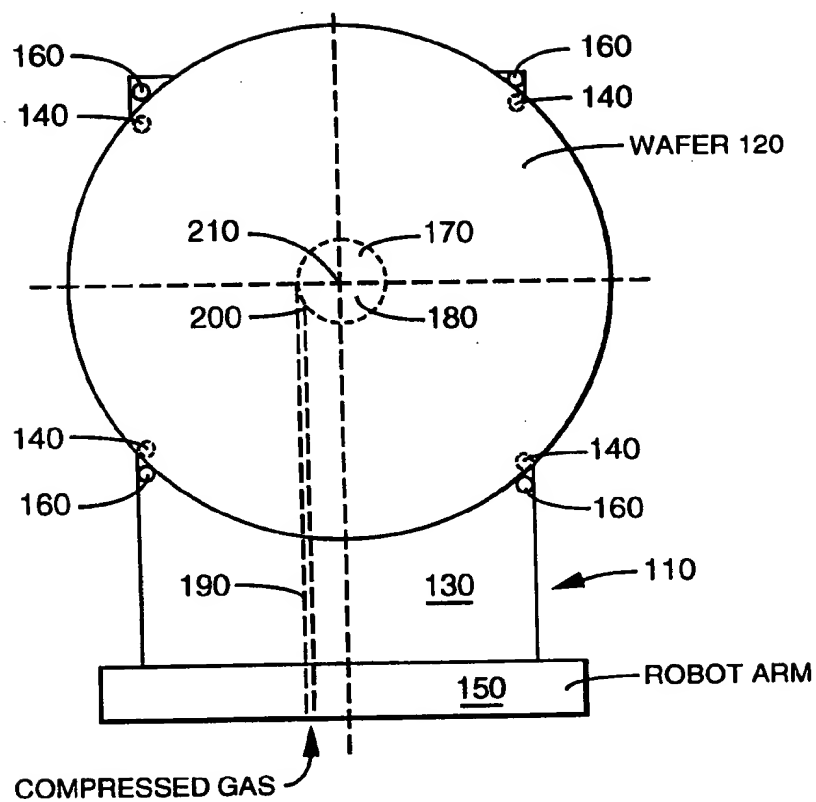
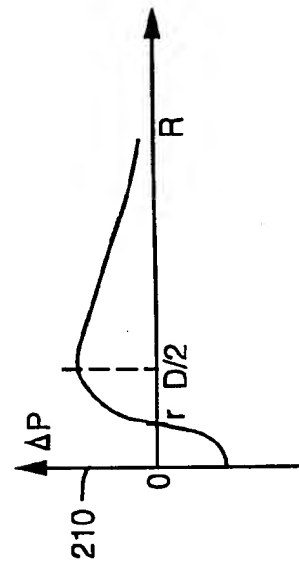
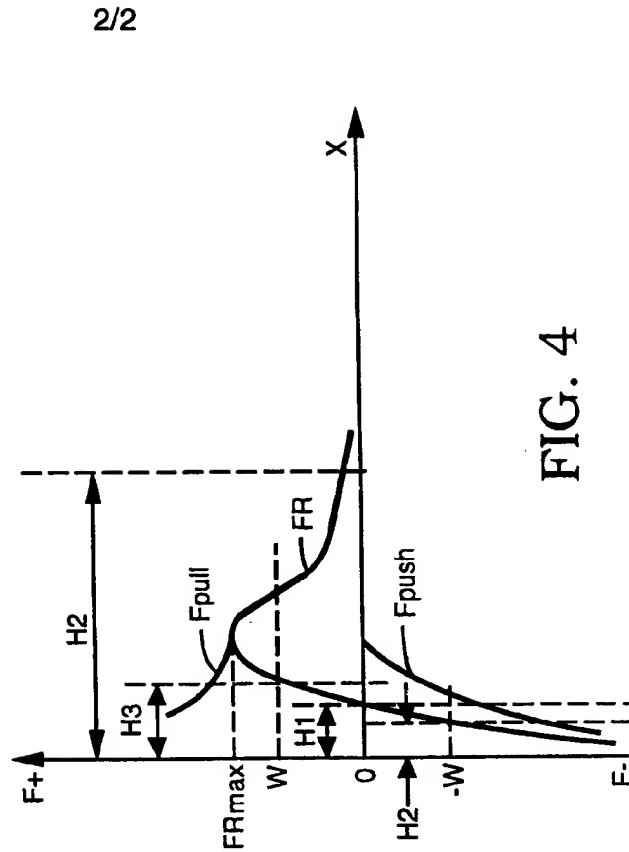
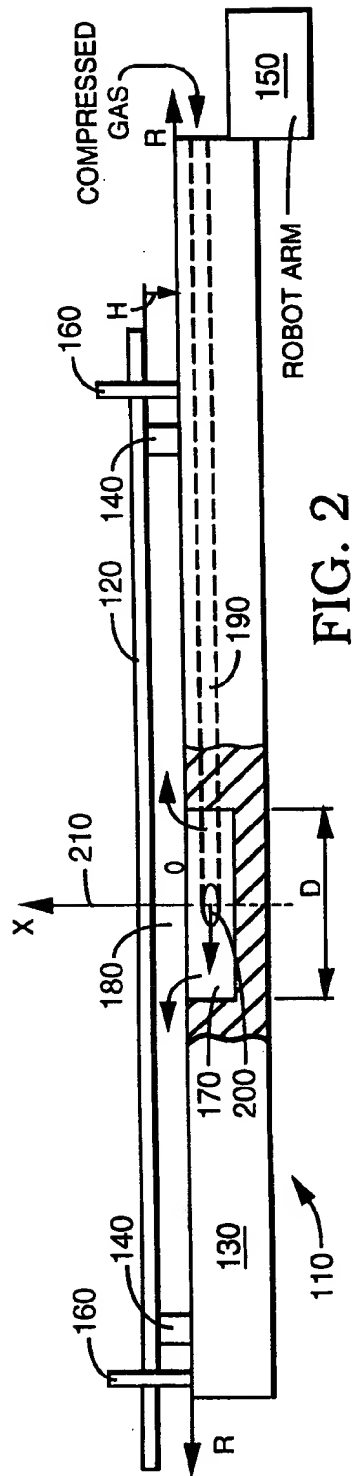


FIG. 1





# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/00430

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H01L21/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 16847 A (HITACHI LTD.) 9 May 1997 see abstract; figures 4,5,9-11	1-5, 7, 9-11
A	---	6, 8
X	US 4 566 726 A (CORRENTI ET AL.) 28 January 1986 see abstract; figures 2A,2B	1, 2, 4, 9-11
X	US 4 002 254 A (OLOFSEN) 11 January 1977 see column 4, line 60 - column 5, line 5; figure 1 see column 8, line 48-55 ---	1, 4, 5, 7, 9-11
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 015, no. 090 (E-1040), 5 March 1991 -& JP 02 303047 A (SHIOYA SEISAKUSHO:KK), 17 December 1990 see abstract; figures 1-3 ---	1,4,9-11
X	US 4 969 676 A (LAMAGNA) 13 November 1990 see column 1, line 22-35; figure 2 see column 3, line 14-17 -----	1,4,9-11

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Information on patent family members

International Application No

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